

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No..... 10/693,396
Filing Date..... 10/24/2003
Confirmation No. 2522
First Named Inventor Jeffrey P. Snover
Assignee Microsoft Corporation
Group Art Unit 2192
Examiner Michael J. Yigdall
Attorney's Docket No. MS1-1740US
Title: Mechanism for Obtaining and Applying Constraints to Constructs within an
Interactive Environment

To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

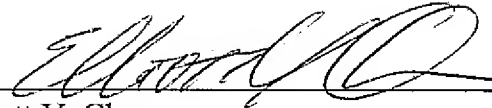
From: Elliott Y. Chen (Tel.206-876-6001; Fax 509-323-8979)
Customer Number: 22801
Lee & Hayes, PLLC
601 W. Riverside Avenue, Suite 1400
Spokane, WA 99201

Applicant is submitting herewith a copy of the Information Disclosure Statement and related documents originally submitted to the U.S. Patent and Trademark Office via U.S. mail on March 29, 2004, for the above referenced application. The receipt of this Information Disclosure Statement by the Office on April 1, 2004 is evidenced by the attached copy of a stamped Post Card. However, this submitted Information Disclosure Statement was not considered by the Office. Applicant respectfully requests that the art in this Information Disclosure Statement, as originally submitted on March 29, 2004, be considered and entered as part of the official record in the above referenced application.

Fees will be paid by credit card through the EFS Web; however the Commissioner is hereby authorized to charge any deficiency of fees and credit any overpayments to Deposit Account Number 12-0769.

Respectfully Submitted,

Dated: 12-8-09

By: 
Elliott Y. Chen
Reg. No. 58293
206-876-6001

MS1-1740US

Microsoft Corporation

3/29/04

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the documents enumerated below, relating to the following application for letters patent:

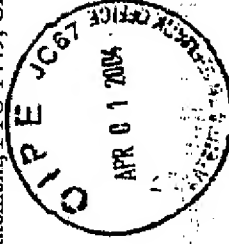
Serial No.: 10/693,396

Filing Date: Oct 24, 2003

Title: "Mechanism for Obtaining and Applying Constraints to Constructs within an Interactive Environment"

Inventorship: Snover et al.

1. Transmittal Letter
2. Fee Transmittal Letter
3. Information Disclosure Statement, PTO 1449, Cited References (12)
4. Return Post Card



LEE & HAYES, PLLC
(509) 324-9256

MS1-1740US

Microsoft Corporation

3/29/04

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the documents enumerated below, relating to the following application for letters patent:

Serial No.: 10/693,396

Filing Date: Oct 24, 2003

Title: "Mechanism for Obtaining and Applying Constraints to Constructs within an Interactive Environment"

Inventorship: Snover et al.

1. Transmittal Letter
2. Fee Transmittal Letter
3. Information Disclosure Statement, PTO 1449, Cited References (12)
4. Return Post Card

LEE & HAYES, PLLC
(509) 324-9256

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/693,396

Filing Date Oct 24, 2003

First Named Inventor Snover

Group Art Unit 2131

Examiner Name

Total Number of Pages in This Submission

Attorney Docket Number MS1-1740US

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s) Sheets

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Return Receipt Postcard
Cited References (12)

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Marla A. Tunheim

Signature

Marla A. Tunheim

Date

3/29/04

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 3/29/04

Typed or printed name

Wendy A. Saxby

Signature

Wendy A. Saxby

Date

3/29/04

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known

Application Number 10/693,396
Filing Date Oct 24, 2003
First Named Inventor Snover
Examiner Name
Art Unit 2131
Attorney Docket No. MS1-1740US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

12-0769

Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text"/>	X	<input type="text"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text"/>	X	<input type="text"/>
Multiple Dependent	<input type="text"/>				<input type="text"/>

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type) Marcia A. Tunheim Registration No. 42,189 Telephone (206) 315-4001
Signature Marcia A. Tunheim Date 3/29/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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1 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Serial No..... 10/693,396
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4 Inventorship..... Snover
5 Applicant..... Microsoft Corporation
6 Group Art Unit..... 2131
7 Attorney's Docket No. MS1-1740US
8 Title: Mechanism for Obtaining and Applying Constraints to Constructs within an
9 Interactive Environment

10 **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)**

11 The citations listed, copies attached, may be material to the examination of
12 the subject application and are therefore submitted in compliance with the duty of
13 disclosure defined in 37 CFR §1.56. The Examiner is requested to make these
14 citations of official record in this application.

15 Respectfully Submitted,

16 Date: 3/29/04

17 By: Marcia A. Tunheim
18 Marcia A. Tunheim
19 Reg. No. 42,189